

MICRO X-RAY FLUORESCENCE IMAGING COUPLED WITH CHEMOMETRICS TO DETECT AND CLASSIFY ASBESTOS FIBERS IN DEMOLITION WASTE

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ABSTRACT

Asbestos was largely used in the past by several countries all over the world. From 1900 to 1990 asbestos-containing materials (ACMs) were produced in large amounts and mainly utilized to produce insulation, flame retardant materials, as well as to improve the mechanical and the chemical characteristics of construction materials. Its extensive use has therefore led to the presence of fibers in existing buildings and within the construction and demolition waste. A fast, reliable and accurate recognition of ACMs represents an important target to be reached. In this paper the use of micro X-ray fluorescence (micro-XRF) technique coupled with a statistical multivariate approach was applied and discussed with reference to ACMs characterization. Different elemental maps of the ACMs were preliminary acquired in order to evaluate distribution and composition of asbestos fibers, then samples energy spectra were collected and processed using chemometric methods to perform an automatic classification of the different typologies of asbestos fibers. Spectral data were analyzed using PLS-Toolbox™ (Eigenvector Research, Inc.) running into Matlab® (The Mathworks, Inc.) environment. An automatic classification model was then built and applied. Results showed that asbestos fibers were correctly identified and classified according to their chemical composition. The proposed approach, based on micro-XRF analysis combined with an automatic classification of the elemental maps, is not only effective and non-destructive, it is fast, and it does not require the presence of a trained operator. The application of the developed methodology can help to correctly characterize and manage demolition waste where ACMs are present.

1. INTRODUCTION

Asbestos is the common name used for two families of fibrous minerals of different crystallographic and chemical characteristics: serpentine (i.e. chrysotile: $Mg_3(Si_2O_5)(OH)_4$) and amphiboles (i.e. crocidolite: $Na_2(Fe^{2+}_3Fe^{3+}_2)Si_8O_{22}(OH)_2$ and amosite: $Fe_7Si_8O_{22}(OH)_2$) (Lewis et al., 1996; Paglietti et al., 2016). They can all exist in several different crystalline forms, but only if characterized by a fibrous structure are classified as asbestos. The most used mineral in the industrial sector is chrysotile, as it is contained in almost 95% of all asbestos products and/or artifacts (Virta, R.L., 2005). Among the amphiboles, the most widely used mineral is crocidolite, followed by amosite (Bassani et al., 2007). Asbestos has been widely used in many applications for its technical properties (i.e. resistance to abrasion, heat and chemicals) (Gualtieri, 2017). However, despite its proper-

ties, asbestos is recognized as a hazardous material to human health and since 1980 it has been banned in many industrialized countries. The exposition of people to asbestos is quite huge. World Health Organization (WHO) report shows as about 125 million people are exposed to asbestos at the workplace. Every year, asbestos-related-tumors produce the death of about 100,000 people, several thousand related to asbestos exposure at home (Varkey, B., 1983; Olsen et al., 2011). Several solutions were explored to clean up ACMs (Yoshikawa et al., 2015; Zhai et al., 2014; Valouma et al., 2017) but first a preliminary separation of contaminated products from non-hazardous waste is required. Asbestos fibrils are generally very thin and may not all be resolved even by optical magnifications of 400–450×, and so, by eye what is seen as fibers are actually bundles of fibers (Harper et al., 2008). On present evidence fiber counts appear to provide a better index of hazard than respirable

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mass concentration. Considerations of respirability and biological activity together suggest that the counts should be of fibers in the size range. In most legislation a "standard" airborne fiber to be counted is a fiber with a length greater than 5 micron and width less than 3 micron with an aspect ratio l/w greater than 3:1. (Walton, W. H., 1984). Asbestos containing material with fibers exposed on degraded surface, can be a possible source of respirable asbestos fibers. Additionally, fiber identification techniques are crucial for environmental control in contaminated areas such as the proximity of an asbestos mine (Colangelo et al., 2011). The possibility to adopt fast and reliable analysis methods to detect and identify asbestos fibers in laboratory is of great interest in terms of safety, time and costs. Micro-Xray based systems are widely used in different fields of research and it is frequently used as a technique to identify elements in the laboratory and on portable systems. Imaging X Ray fluorescence is the evolution of point micro-XRF and allows to obtain spatial and compositional information simultaneously (Tsuji et al., 2005). This approach is actually applied in many different research areas, as: biology and medicine (Paunesku et al., 2006), cultural heritage (Rosi et al., 2004; Monico et al. 2011; Pronti et al., 2015; Capobianco et al., 2018), forensic research (Dhara et al., 2010; Nakanishi et al. 2008), natural science (Capobianco et al., 2018). In this work, benchtop micro-XRF was utilized to identify and classify asbestos fibers (Bonifazi et al, 2015; Bonifazi et al, 2018). The proposed strategy, based on the combined use of micro-XRF imaging and chemometric techniques, can be a valid and efficient analytical approach supporting the currently adopted asbestos recognition techniques, such as Fourier transform infrared spectroscopy (FT-IR) (De Stefano et al., 2012), Raman spectroscopy (Petriglieri et al., 2015), polarized light microscopy (PLM) (Lee et al., 2008) and scanning electron microscopy (SEM) (Gandolfi et al., 2016). All these techniques require the preparation of samples and usually allow punctual measurements and/or small areas mapping. The new generation of scanning XRF analytical units, based on confocal XRF method, realizes the best acquisition conditions, both in terms of speed and analytical data set reliability. For every acquired hyper-map, a XRF spectrum is associated to each pixel. Thus, an acquisition consists in a $n \times m$ matrix of spectra, where n and m are the number of pixels in the x and y direction, respectively (Figueroa et al., 2014). Aim of this work was to verify the possibility to utilize the confocal micro-XRF imaging-based approach as an analytical technique to perform an automatic detection and mapping of asbestos containing materials (ACMs), without the presence of an operator performing a preliminary identification/selection of the different energy ranges/peaks representative of a specific asbestos fiber. The main aim of this procedure was to provide laboratory scale analytical tests allowing the identification of asbestos fibers bundles, following a not-destructive and not-invasive approach automatically carried out. Following this approach it is thus possible to reduce the quantity of samples to be analyzed by classic analytical techniques, that are more sensitive but require a specific samples preparation, longer analytical time and allow the analysis

of smaller samples area. Data were thus analyzed by chemometric techniques (exploration and classification methods) and the results compared with the maps of the elements obtainable by the classical approach (i.e. manual selection of the elements associated to each fiber).

2. MATERIALS AND METHODS

2.1 Experimental set up

Six different ACM samples were investigated, containing 3 different type of asbestos fibers (i.e. chrysotile, crocidolite and amosite) (Figure 1 and Table 1). The ACM fragments were sampled from different contaminated sites by the Department of New Technologies for Occupational Safety of Industrial Plants, Products and Anthropoc Settlements, (National Institute for Insurance against Accidents at Work - INAIL). Sample collection was performed following the general guidelines that have to be adopted when asbestos remediation actions have to be performed in superfund sites (INAIL, 2010). XRF analyses according to the rules reported in "Fact Sheet: Recognition and characterization of ACM by micro-XRF" (INAIL, 2020). Selected areas of the sample surfaces were used to acquire the micro-XRF maps. More in details, sample areas of Figures 1a, 1b and 1c were utilized to perform calibration, whereas those shown in Figures 1d, 1e and 1f were utilized to validate the classification model. The micro-XRF based elements mapping was performed at Raw-Ma Lab (Raw materials Laboratory) - Department of Chemical Engineering, Materials & Environment (Sapienza - University of Rome, Italy). A benchtop spectrometer (M4 Tornado, Bruker®), equipped with a Rh X-ray tube with poly-capillary optics as the X-ray convergence technique and XFlash® detector providing an energy resolution better than 145 eV and 5 filters, was utilized (Guerra et al., 2013). The whole spectra comprised 4096 channels with a spot size of approximately 30 μm . Spectrum energy calibration was daily performed before each analysis batch by using zirconium (Zr) metal (Bruker® calibration standard). The sensitivity of μXRF is determined by the excitation probability of the sample and the peak to background ratio. The background intensities were directly computed by the equipment (ESPRIT Bruker® software). The sample chamber can be evacuated to 25 mbar and, therefore, light elements such as sodium can be measured (Nikonow et al., 2016). Constant exciting energies of 50 kV and 500 μA , were adopted for acquisition. The set-up mapping acquisition parameters were a pixel size of 30 μm and an acquisition time, for each pixel, of 10 milliseconds. Through this experimental set-up is possible to map bundles of asbestos fiber inside matrix until a resolution of 30 micron in a wide scanning area (max acquisition area 19x16x12 cm for each sample). Spectral data (i.e. hyper-maps) analysis was carried out adopting chemometric methods, using the PLS_Toolbox (version 8.6, Eigen-vector Research, Inc.) running inside MATLAB (version 9.3, The Mathworks, Inc.). Starting from the samples outlined in Figure 1, two mosaic data images were built (Figure 2) in order to define the calibration (Figure 2a) and validation (Figure 2b) data set.

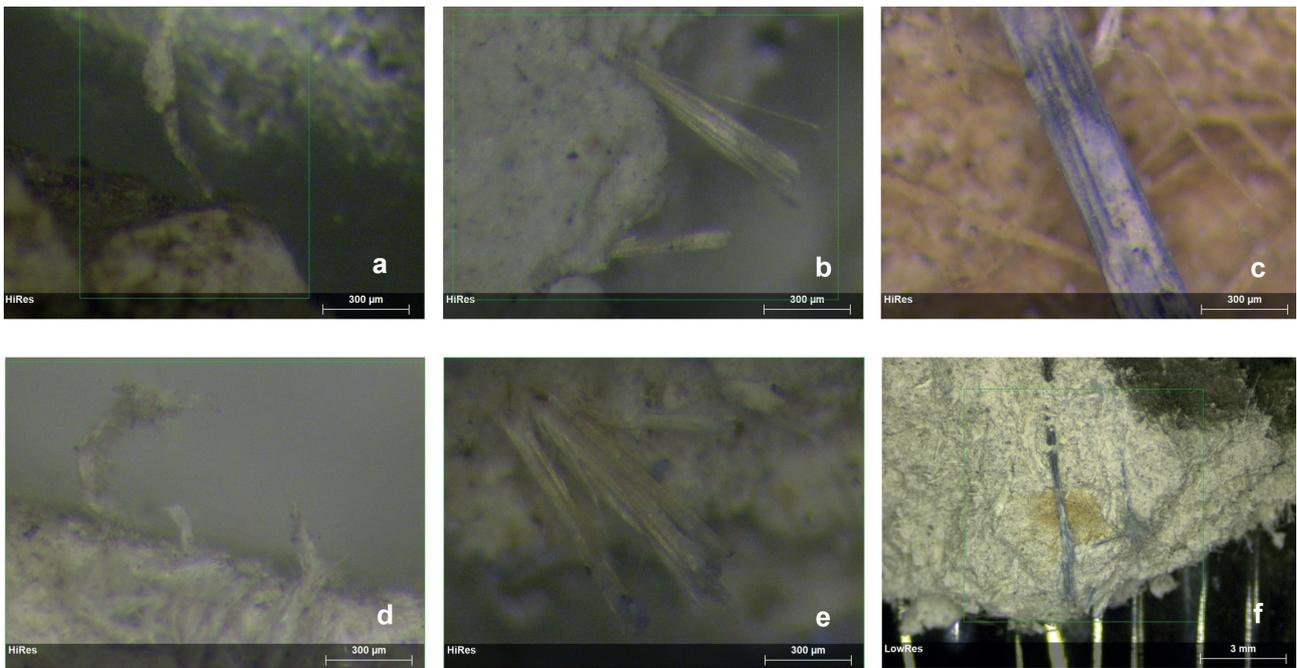


FIGURE 1: Asbestos containing materials (ACM) microscopic images: chrysotile (a and d), amosite (b and e) and crocidolite (c and f). Sample images a, b and c have been utilized for calibration and d, e and f for validation.

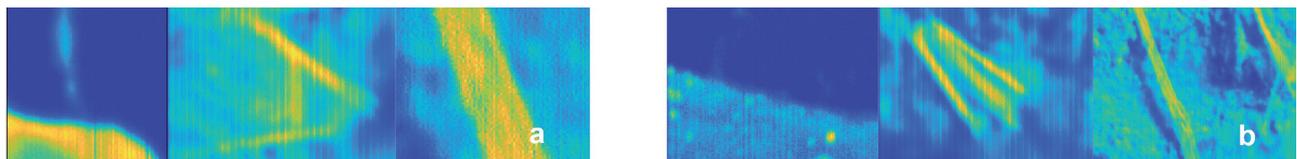


FIGURE 2: Mosaic data image of ACM samples used for calibration (a) and validation (b) dataset, respectively. a: mosaic image obtained by the combination of the images reported in Figure 1a, 1b and 1c. b: mosaic image obtained by the combination of the images reported in Figure 1d, 1e and 1f.

2.2 μ XRF: acquisition and data handling

The experimental procedure was defined and implemented in two steps. The 1st step was finalized to the acquisition of the hyper-maps and the further XRF peaks deconvolution in order to identify the different asbestos minerals following the classical expert-based approach. The 2nd step was addressed to energy spectra acquisition and handling to define an “automatic” chemometric based classification model.

2.2.1 Step 1: hyper-maps acquisition and XRF peak deconvolution

ACM samples were acquired by μ XRF in order to build, as already mentioned, the element maps. Specific Areas in the Sample (SAS) of the ACM were then analyzed in order

TABLE 1: Asbestos samples selected to perform the XRF based recognition/classification procedures.

Samples	Description
Samples containing chrysotile	Fragment of corrugated sheets (Materit)
Samples containing amosite	Fragment of flat slab (Materit)
Samples containing crocidolite	Fragment of a water tank

to quantify the element concentration and identify asbestos fibers (Figure 3).

2.2.2 Step 2: Definition of the calibration dataset and identification of elements by soft independent modelling by class analogy (SIMCA)

Calibration dataset were acquired by micro-XRF to build an automatic classification model able to recognize the different asbestos fibers without any human based investigation. A set of 3 asbestos fibers clearly identified in the ACM samples was used as training dataset to build the classification model (Figure 2a). The classification model was then validated utilizing the ACM samples (Figure 2b). Spectral data analysis was preliminary addressed to explore and to evaluate the quality of the acquired information to be utilized for the further classification model definition, design, implementation and set up. To reach these goals, a preliminary Principal Component Analysis (PCA) and a further Soft Independent Modelling by Class Analogy (SIMCA) was applied. PCA is the most utilized multivariate data analysis method for exploratory data handling, outlier detection, rank (dimensionality) reduction, graphical clustering, classification, regression, etc. (Bro et al., 2014). It

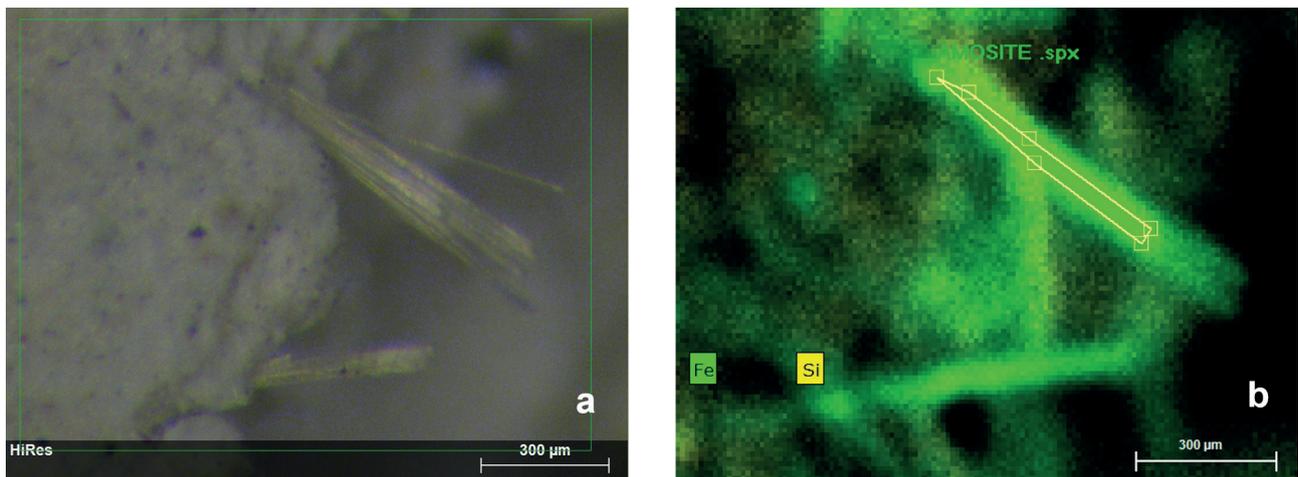


FIGURE 3: Example of procedure adopted to identify asbestos minerals with reference to amosite. a: source image and b: corresponding Fe and Si maps, where a Specific Areas in the Sample (SAS) was selected in order to verify, through the corresponding energy spectra deconvolution, the qualitative and semi-quantitative element presence, characteristic of the target asbestos mineral (i.e. amosite).

was used to decompose the “pre-processed” spectral data into several principal components (PCs) (linear combinations of the original spectral data) embedding the spectral variations of each collected spectral data set. According to this approach, a reduced set of factors is produced and used for discrimination, since it provides an accurate description of the entire dataset. The first few PCs, resulting from PCA, are generally utilized to analyze the common features among samples and their grouping: samples characterized by similar spectral signatures tend to aggregate in the score plot of the first two or three components. Spectra could be thus characterized either by the reflectance at each wavenumber in the wavenumber space, or by their score on each PC in the PC space (Bro et al., 2014). Samples characterized by similar spectra, belonging to the same class of products, are grouped in the same region of the score plot related to the first two or three PCs, whereas samples characterized by different spectral features will be clustered in other parts of this space. Starting from PCA, different energy spectra pre-processing was sequentially applied, that is: Baseline, for spectra background subtraction, Normalize and Probabilistic Quotient Normalization (PQN), for spectra normalization and, finally, Mean centering (MC).

SIMCA is one of the most commonly used class modeling techniques for the classification of spectral data with many applications in different sectors, such as pharmaceutical (Celli et al., 2018), food (Nieuwoudt et al., 2004), biology (Oust et al., 2004) and medicine (Krafft et al., 2006). In SIMCA based modelling unknown samples are compared to the PCA class models and assigned to the class according to their analogy with the calibration samples (Brereton, 2003). SIMCA classifies objects into the category whose principal component model best reproduces the data. Only data points which are members of a given category are used in determining the model functions for that category. The importance of each feature in classification is determined by its contribution to the category covariance

matrices. Therefore, once the model is obtained, it can be applied to an entire hypercube and for the classification of new hypercubes. The result of SIMCA, applied to the hyperspectral images, is a “prediction map,” where the class of each pixel can be identified using color mapping.

The confusion matrix, reporting the Positive Predictive Value, Negative Predictive Value, Accuracy, False Discovery Rate and False Omission Rate, was also computed in order to evaluate the quality of the model. The possible results in prediction being: True Positive (TP), that is asbestos spectrum correctly identified, False Positive (FP), that is asbestos spectrum incorrectly identified, True Negative (TN), that is asbestos spectrum correctly rejected and False Negative (FN), that is asbestos spectrum incorrectly rejected. The quality of prediction results can be evaluated taking into account the values of Sensitivity, Specificity, Positive and Negative Predictive Value, False Discovery Rate, False Omission Rate and Accuracy parameters obtained for the classification model. Sensitivity measures the proportion of actual positives that are correctly identified as such, while, Specificity measures the proportion of actual negatives that are correctly identified as such (Sharma et al., 2009). Positive and Negative Predictive Values are the proportions of positive and negative results in statistics and diagnostic tests that are true positive and true negative results, respectively (Fletcher et al., 2012). The False Discovery Rate is the proportion of the spectra with a known positive condition for which the test result is negative (Lage-Castellanos et al., 2010). False Omission Rate measures the proportion of false negatives which are incorrectly rejected (Lage-Dresselhaus et al., 2002). Accuracy is the degree of correspondence of the theoretical data with the real data (Linnet et al., 2012). Other parameters have been also computed, they are reported in the following, that is:

- sensitivity is calculated using the following formula:

$$\text{Sensitivity} = \text{TP} / (\text{TP} + \text{FN}) \quad (1)$$

- specificity is calculated using the following formula:
Specificity = $TN/(TN+FP)$ (2)
- positive predictive value is calculated using the following formula:
Positive predictive value = $TP/(TP+FP)$ (3)
- negative predictive value is calculated using the following formula:
Negative predictive value = $TN/(TN+FN)$ (4)
- false discovery rate is calculated using the following formula:
False discovery rate = $FP/(FP+TP)$ (5)
- false omission rate is calculated using the following formula:
False omission rate = $FN/(FN+TN)$ (6)
- accuracy is calculated using the following formula:
Accuracy = $(TP+TN)/(P+N)$ (7)

3. RESULTS AND DISCUSSION

Results and discussion are reported in the following, presenting and comparing the classical human based micro-XRF mapping approach and the proposed automatic one based on SIMCA classification.

3.1 Element maps of asbestos fibers

The results of the semi-quantitative analysis, as resulting from energy spectra deconvolution, are summarized in Table 2, in terms of detected elements inside the different

SAS. As shown in Table 2, the SAS analysis of fibers detected on the map allows to identify the elements with the highest concentration and their relative ratios. Light elements with low mean concentration (i.e. sodium) were not detected in map mode probably due to the reduced acquisition pixel per time (i.e. 10 milliseconds).

The chrysotile spectrum was characterized by a Mg concentration greater than those belonging to amosite and crocidolite. The amosite shows a higher Fe concentration than that detected in crocidolite. Crocidolite spectrum is characterized by the presence of Fe and small quantity of Mg. These physical characteristics and their relative values are useful but not sufficient enough to define the presence of asbestos fibers in the complex matrix. The elemental maps of the examined samples shown in Figure 4, summarize the complexity of the analyzed data. For this reason, in order to isolate the spectral signatures of the different fibers and to use them to create a predictive model, a PCA of the identified fibers was carried out. The results shown in Table 2 are not the typical compositions of pure asbestos minerals like chrysotile, amosite or crocidolite. The elements as Calcium (Ca), Aluminium (Al), Sulfur (S), Potassium (K), copper (Cu) and Titanium (Ti), were related to the matrix. The spectral compositions of the asbestos fibers shown in Table 2 was influenced by the surrounded matrix of the demolition waste material. As a consequence, in order to obtain a representative calibration dataset for the classification model, it was necessary to use asbestos fibers coming from fiber of different types of waste product containing ACM.

TABLE 2: Results of the semi-quantitative determination carried out by Energy Dispersive micro-XRF on ACM samples characterized by a cement matrix.

Element	Chrysotile (A)		Amosite (B)		Crocidolite (C)		Chrysotile (D)		Amosite (E)		Crocidolite (F)	
	Normalize wt. %	wt. % (Sigma)										
Ca	52.79	2.17E-02	30.49	8.79E-02	53.41	1.19E-01	22.28	1.55E-03	10.93	3.57E-03	44.60	7.85E-02
Si	29.84	3.28E-02	21.27	2.04E-01	13.52	3.78E-02	37.50	1.76E-02	7.05	7.72E-03	16.79	5.77E-02
Fe	2.55	7.08E-05	41.54	1.47E-01	27.93	2.92E-02	5.56	1.04E-04	74.90	1.38E-01	32.21	3.79E-02
Mg	9.11	5.84E-03	1.06	2.43E-03	2.65	2.92E-03	15.51	5.81E-03	0.13	1.08E-04	3.38	4.01E-03
Al	3.27	8.39E-04	0.26	2.13E-04	1.02	4.40E-04	2.58	2.73E-04	0.02	6.39E-06	1.10	4.93E-04
S	0.42	1.57E-05	1.18	7.82E-04	0.71	1.32E-04	10.25	1.38E-03	0.11	5.40E-06	1.05	2.44E-04
P	1.29	5.39E-05	0.45	6.77E-05	0.23	9.68E-06	1.51	3.85E-05	0.18	6.46E-06	0.25	9.09E-06
Ti	0.24	2.93E-06	0.12	6.48E-06	0.15	2.74E-06	2.00	2.47E-05	0.02	1.84E-07	0.16	2.40E-06
Mn	0.16	1.21E-06	3.45	1.23E-03	0.08	1.20E-06	0.21	1.18E-06	6.22	1.14E-03	0.08	8.89E-07
Sr	0.02	9.68E-08	0.13	6.57E-06	0.15	2.44E-06	0.05	3.18E-07	0.39	1.49E-05	0.14	1.90E-06
Zn	0.01	5.76E-08	0.00	1.22E-07	0.06	6.15E-07	2.38	2.69E-05	0.03	5.02E-07	0.14	1.49E-06
Cr	0.05	3.31E-07	0.03	1.06E-06	0.04	4.81E-07	0.18	9.41E-07	0.01	5.61E-08	0.03	2.68E-07
P	0.24	1.18E-05	-	-	-	-	-	-	-	-	0.01	3.79E-07
Cu	-	-	-	-	0.04	3.15E-07	-	-	0.03	2.19E-06	0.05	3.75E-07

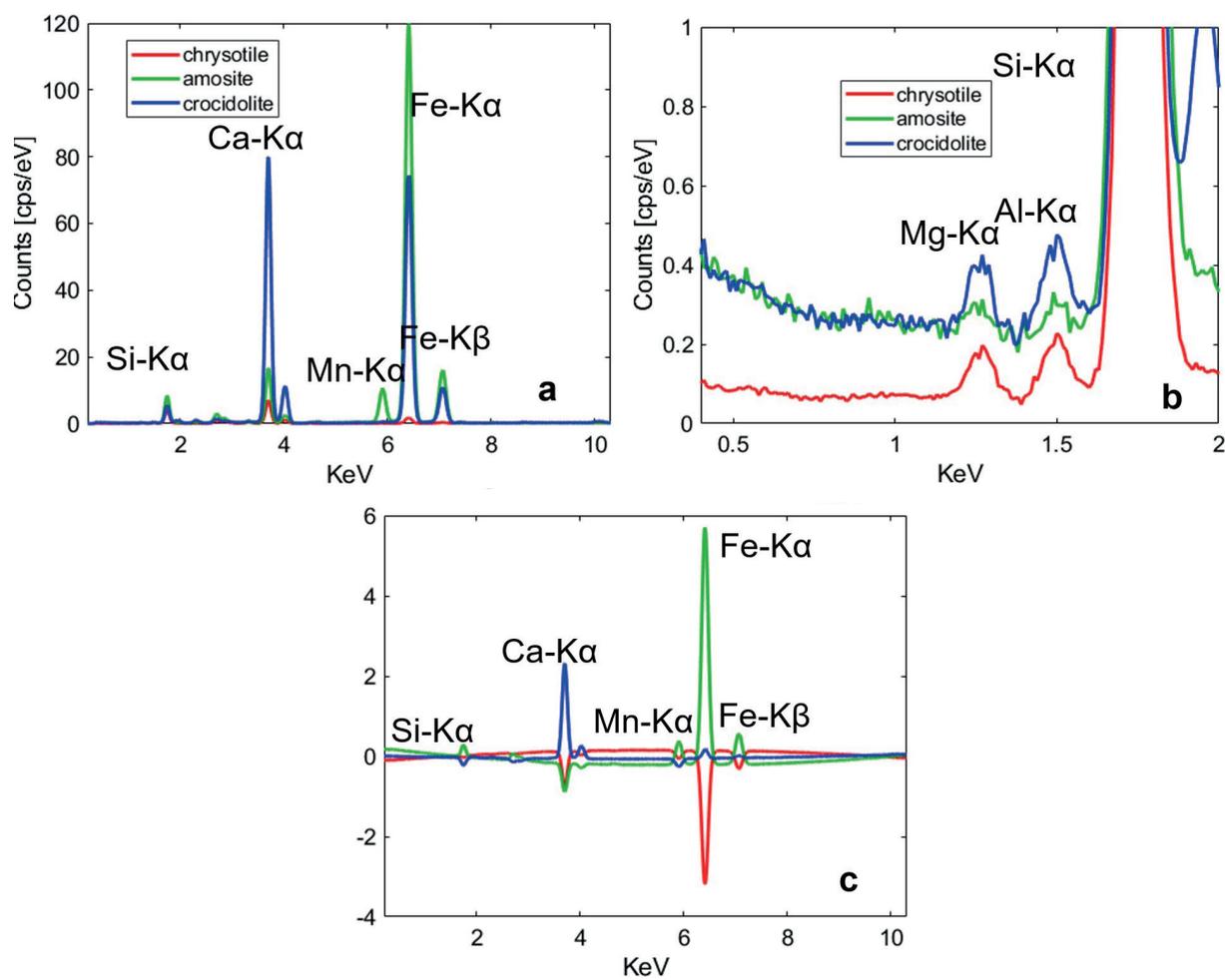


FIGURE 6: Raw (a), detail of Mg Ka spectra area (b) and pre-processed spectra (c), as resulting from the sequential application of different pre-processing algorithms: PQN, Baseline (Automatic Weighted Least Squares), Normalize and Mean Center.

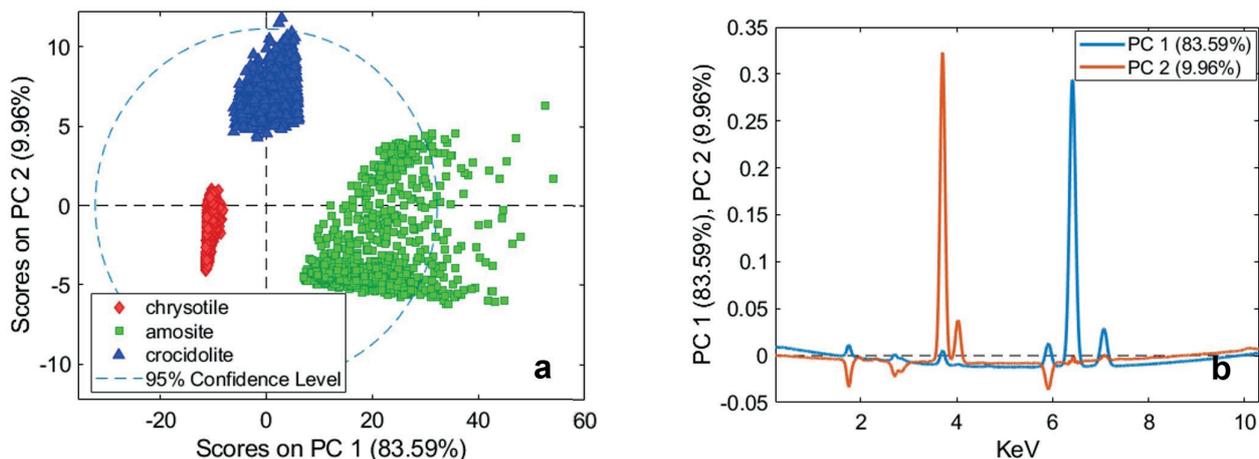


FIGURE 7: PCA score plot (a) e loading plot (b) of calibration dataset.

specificity are very good. To verify its classification ability, the built SIMCA model was applied to the validation of ACM samples data set. Sensitivity and specificity also in validation assume high values (Table 3).

The results in terms of prediction (i.e. "Pred Probability") are shown in Figure 8: the class with the highest prob-

ability belongs to the asbestos. The obtained results are very good for all the investigated elements, being comparable with those obtained following the classical "instrument-men-driven" approach. Misclassifications sometimes occur, but they are mainly due to border effect. The prediction of the chrysotile is good. Chrysotile fibers are well iden-

TABLE 3: Sensitivity and specificity for the SIMCA based built model. Cal: calibration; CV: cross-validation and PRED: prediction.

	Chrysotile	Amosite	Crocidolite
Sensitivity (Cal)	1.00	0.98	1.00
Specificity (Cal)	0.99	1.00	1.00
Sensitivity(CV)	0.84	0.85	0.90
Specificity (CV)	1.00	1.00	1.00
Sensitivity (PRED)	0.84	0.99	0.82
Specificity (PRED)	0.99	0.88	1.00

tified, despite the presence of high noise due to the uneven surface characteristics (i.e. de-focusing effect). The identification of amosite and crocidolite is also quite satisfactory. Only few pixels are misclassified.

The results of the confusion matrix in prediction are shown in Table 4. They show good a predictive capability of the model with a value of Positive and Negative Predictive Value closer to one and never under 0.86.

The low value of False Discovery and Omission Rate

highlights the low number of spectra which are incorrectly classified with a range value between 0.01 and 0.14. In general, the Accuracy of each asbestos class prediction were over 0.91.

4. CONCLUSIONS

The study was carried out to investigate the utilization of chemometric procedures, based on processing of data-

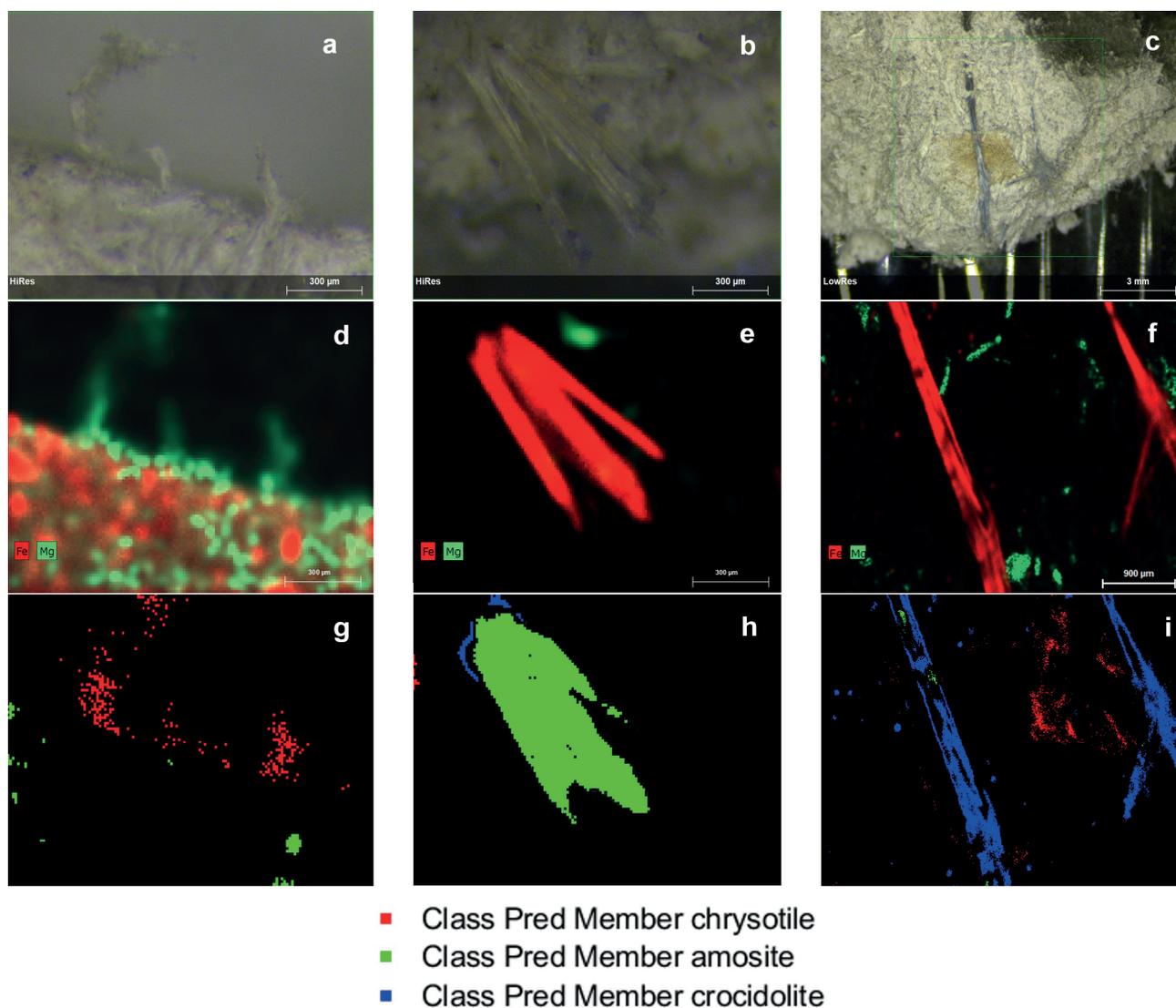


FIGURE 8: Microscope image of the ACM samples: chrysotile (a), amosite (b) and crocidolite (c). Micro-XRF map of iron and magnesium referred to chrysotile (d), amosite (e) and crocidolite (f). SIMCA prediction results obtained for chrysotile (g), amosite (h) and crocidolite (i).

TABLE 4: Positive predictive value, negative predictive value, accuracy, false discovery rate and false omission rate for the SIMCA prediction results.

	Chrysotile	Amosite	Crocidolite
Positive Predictive Value	0.99	0.89	0.99
Negative Predictive Value	0.86	0.99	0.84
Accuracy	0.92	0.94	0.91
False Discovery Rate	0.01	0.11	0.01
False Omission Rate	0.84	0.99	0.82
Rate	0.14	0.01	0.16

set generated by micro-XRF, in order to perform a preliminary laboratory scale automatic check on the presence of asbestos fibers in cement matrix. More in detail, SIMCA, after PCA, was applied to build a model able to recognize/classify asbestos fibers starting from the reference energy spectra representative of the different asbestos minerals. The proposed combined chemometric micro-XRF approach presents many advantages: it is objective, and the classification model does not require an expert user for the interpretation of results. In fact, the final output of the model was a false color map assigning a color for each asbestos type. In the future, using a robust calibration dataset, this procedure could be applied to different anthropogenic ACM waste materials at laboratory scale in order to reduce the quantity of material to be analyzed with consolidated analytical techniques, requiring both sample preparation and longer analysis time. The procedure, after the preliminary model recognition set up, is easy to implement and it is characterized by low operative costs, being the procedure totally software, especially if compared with classical methods usually requiring sample pre-treatment and longer analytical time (i.e. optical microscopy and SEM-EDX). Further studies will be addressed to a systematic application of asbestos recognition in order to perform not only a qualitative control of the different ACM samples, but also to characterize different types of asbestos fibers in different types of matrix. Following this approach, it will be thus possible to design more efficient and specialized strategies for the identification of asbestos fibers using imaging XRF techniques.

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